



**Global Compact**  
Network Japan

# **Anti-Corruption Collective Action Initiative in Japan**

**April 27, 2018**  
**Sumie Kashihara**



# Outline

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- Outline of the Collective Action Initiative
- Experiences through the 5-phase process
- Conclusions and future plans



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# Aims of the Collective Action

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A company's efforts in taking initiatives and disclosing information

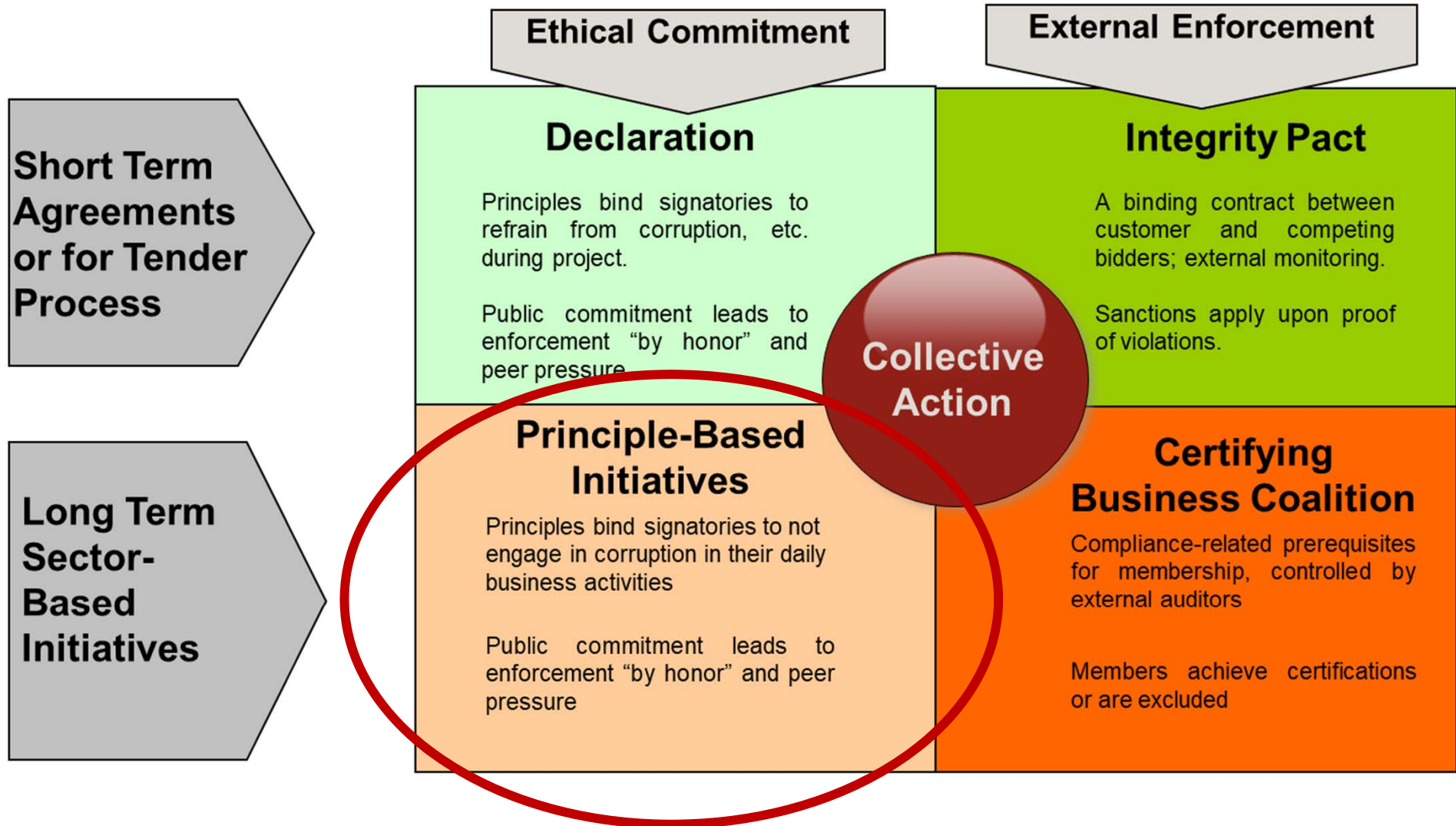
- Strengthen corporate governance
- Lead to an increase in corporate value

## Aims

- Drive Improvement in the business environment
- Enhance Japanese companies' reputation



# Type of the Collective Action





Global Compact  
Network Japan

# Tokyo Principles Collective Action

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## Tokyo Principles for strengthening Anti-Corruption Initiatives

Top management commitment

- Promote initiatives
- Strengthen practices
- Disclose status

## Anti-Bribery Assessment tool

Tool for self-assessment and information disclosure



## **“Tokyo Principles for strengthening Anti-Corruption Initiatives”**

1. Commitment and actions by top management
2. Adopting risk-based approach
3. Establishment of fundamental policy and internal rules
4. Establishment of organizational structure
5. Managing third parties
6. Training
7. Monitoring and continual improvement



## “Anti-Bribery Assessment Tool”

STEP 1: Company's Risk Classification

STEP 2: Status of Achievement of Compliance Items



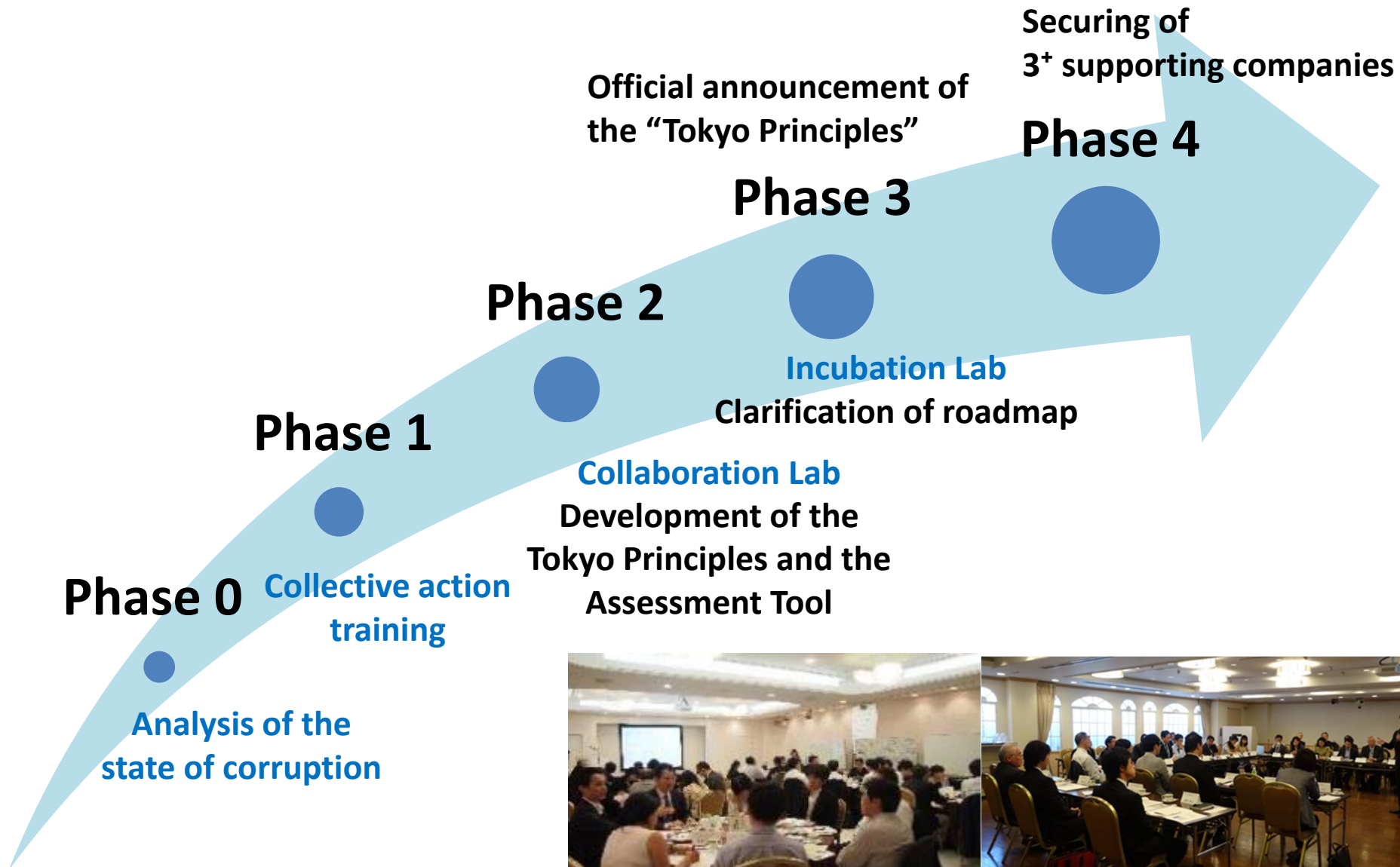




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# 5-Phase process





# Achievement 1

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- *Deepened understanding* of international efforts to prevent corruption and global standards
- *Gained diverse knowledge*
- *Embedded the knowledge* gained into teaching materials and educational programs and *standardized educational contents* on a global basis
- *Formulated guidelines* based on the *Assessment Tool*



## Achievement 2

### First Anti-Corruption Collective Action Initiative in Japan



Anti-Corruption  
Collective Action

**ShinEtsu**

信越化学工業

**OLYMPUS**

オリンパス

 **astellas**

アステラス製薬



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## Siemens Integrity Initiative project

- Awareness raising and capacity building
- First Anti-Corruption Collective Action in Japan



# Future plans

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## Medium-term objectives by 2020

- 50 supporting companies
- Effective collective action
- Better information disclosure
- Constructive dialogue

2019

### Plans

- 2 events/training per year
- Information delivery

2018

# Appendix





Nikkei Newspaper  
(January 08, 2018)

"Corporate Bribery Risks To Be Assessed by Investors"

NBL (New Business Law) No.1119  
(April 01, 2018)

"Role of dialogues between companies and investors to strengthen Anti-Corruption Initiatives"

